

**Selfadjointness
and
optimal decay rates
in overdamped equations**

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Outline

1. Motivation of the problem
2. Selfadjointness with a special inner product
3. Decay of regular solutions
4. Optimality of the previous decay

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1. MOTIVATION

The problem

Wave equation with **strong damping** ($\alpha > 0$):

$$\begin{cases} u_{tt} - \alpha \Delta u_t - \Delta u = 0, & \Omega \times (0, \infty) \\ u = 0, & \partial\Omega \times (0, \infty) \end{cases}$$

⇒ How do solutions behave when α is large?
(**overdamping** regime)

The analysis of the spectrum shows two interesting phenomena:

• $\sigma_p = \{\mu_n^\pm\}$ with $\mu_n^+ \rightarrow -\frac{1}{\alpha}$, $\sigma_{ess} = \{-\frac{1}{\alpha}\}$ and $\mu_n^- \rightarrow -\infty$.

So, solutions **decay** as

$$e^{-\frac{1}{\alpha}t}$$

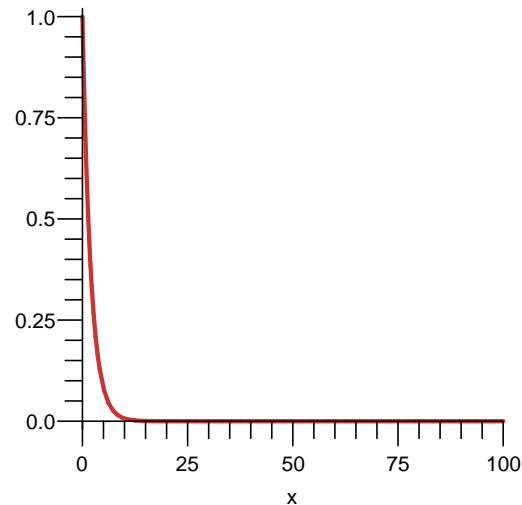
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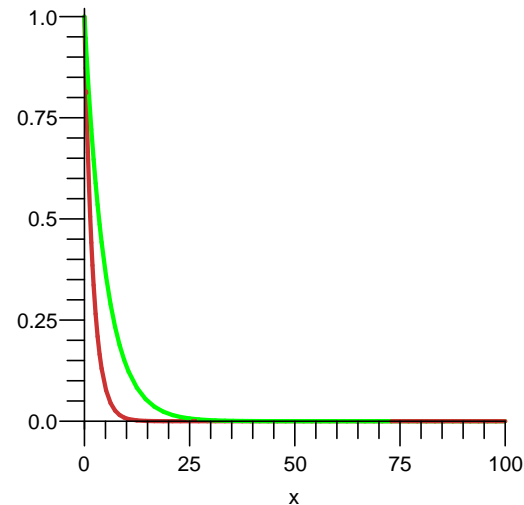
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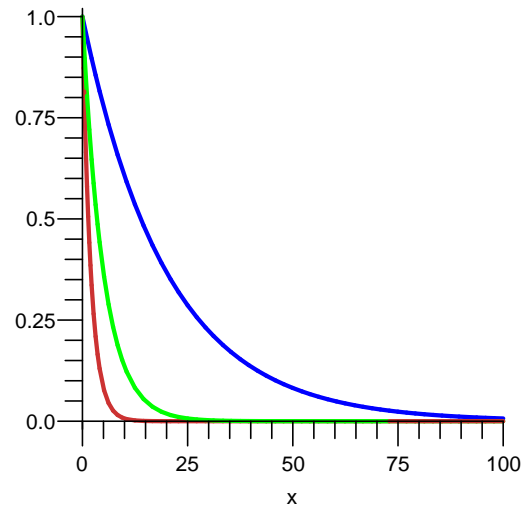
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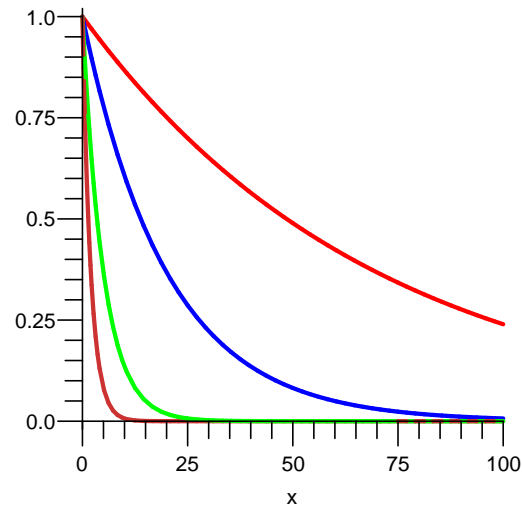
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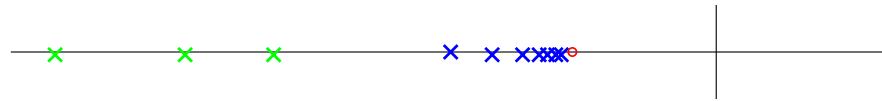
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QUESTION: Can we achieve a **better decay**, maybe for smoother solutions?

$$\left(\text{for instance } \frac{e^{-\frac{1}{\alpha}t}}{\sqrt{t}}, \dots \right)$$



$\sigma \subset \mathbb{R}$ when α is large.



QUESTION : Is there any inner product that makes the problem **selfadjoint**?

OBJECTIVE: Answer this two questions for the equation

$$u_{tt} - \alpha B u_t - Au = 0, \quad \Omega \times (0, \infty)$$

where A, B are operators satisfying certain conditions.

Answer: YES, when α is sufficiently large
(overdamping regime)

Before going on: overdamping in ODEs

Let's consider

$$x'' + \alpha x' + kx = 0 \Leftrightarrow \frac{d}{dt} \begin{pmatrix} x \\ x' \end{pmatrix} = L \begin{pmatrix} x \\ x' \end{pmatrix}, \quad L = \begin{pmatrix} 0 & 1 \\ -k & -\alpha \end{pmatrix}$$

We want an inner product that makes L symmetric.

► We have found a product where this is possible:

$$\left(\vec{X}_1, \vec{X}_2 \right)_E := \left(\begin{pmatrix} \frac{\alpha^2}{2} - k & \frac{\alpha}{2} \\ \frac{\alpha}{2} & 1 \end{pmatrix} \vec{X}_1, \vec{X}_2 \right)$$

if $\boxed{\alpha > 2\sqrt{k}}$ (**overdamping** for ODEs)

► This will inspire the inner product for the PDE problem

Back to the PDE

We can write the equation as:

$$\frac{d}{dt} \begin{pmatrix} u \\ u_t \end{pmatrix} = L \begin{pmatrix} u \\ u_t \end{pmatrix}$$

with

$$L : \mathcal{D}(L) = \mathcal{D}(A) \times \mathcal{D}(B) \subset X \rightarrow X = \mathcal{D}(B) \times \mathcal{H}$$

defined as $L = \begin{pmatrix} 0 & Id \\ -A & -\alpha B \end{pmatrix}$.

Inspired in the results for the ODEs ...

2. L SELFADJOINT

Theorem 1

Suppose:

(H1) A, B strictly positive operators, selfadjoint with compact resolvent, and such that

$\mathcal{D}(A) \subset \mathcal{D}(B) \subset \mathcal{D}(A^{1/2})$ continuously.

(H2) $(Au_1, Bu_2)_{\mathcal{H}} = (Bu_1, Au_2)_{\mathcal{H}}$ for all $u_1, u_2 \in \mathcal{D}(A)$
(commutativity).

(H3) $\alpha > 2M$ (**overdamping condition**)

(where $\|A^{1/2}u\|_{\mathcal{H}} \leq M \|Bu\|_{\mathcal{H}}$)

Then,

● L is **selfadjoint** with the **new** inner product $(,)_E$:

$$\left(\left(\begin{array}{c} u_1 \\ v_1 \end{array} \right), \left(\begin{array}{c} u_2 \\ v_2 \end{array} \right) \right)_E := \frac{\alpha^2}{2} (Bu_1, Bu_2)_{\mathcal{H}} - \left(A^{\frac{1}{2}}u_1, A^{\frac{1}{2}}u_2 \right)_{\mathcal{H}} \\ + \frac{\alpha}{2} (Bu_1, v_2)_{\mathcal{H}} + \frac{\alpha}{2} (v_1, Bu_2)_{\mathcal{H}} + (v_1, v_2)_{\mathcal{H}}.$$

● L is **dissipative** with this scalar product:

$$\left(L \left(\begin{array}{c} u \\ v \end{array} \right), \left(\begin{array}{c} u \\ v \end{array} \right) \right)_E \leq 0, \quad \forall \left(\begin{array}{c} u \\ v \end{array} \right) \in \mathcal{D}(L).$$

Some comments

Formally, the new product is the same as the ODE one

ODE:

$$x'' + \alpha x' + kx = 0 \Rightarrow \begin{pmatrix} \frac{\alpha^2}{2} - k & \frac{\alpha}{2} \\ \frac{\alpha}{2} & 1 \end{pmatrix}$$

when $\alpha > 2\sqrt{k}$

PDE:

$$u_{tt} + \alpha B u_t + Au = 0 \Rightarrow \begin{pmatrix} \frac{\alpha^2}{2} B^2 - A & \frac{\alpha}{2} B \\ \frac{\alpha}{2} B & \text{Id} \end{pmatrix}$$

when $\alpha > 2M$, that is, when $\alpha \|Bu\| > 2 \|A^{\frac{1}{2}}u\|$

Some comments

- Previous results:

- first time where an inner product that makes the problem selfadjoint is given
- real spectrum when overdamping had been observed previously [D.L.Russell'75, P.Freitas'97].

- Corollary (known).** L generates an analytical semigroup

(Proof. When α is large, L is selfadjoint and dissipative; for other α , perturbation of the previous case)

Some comments

Examples

- Case $A = B$. Commutativity condition is automatically fulfilled and overdamping condition happens when $\alpha > 2/\sqrt{\nu}$, where $(Au, u)_{\mathcal{H}} \geq \nu(u, u)_{\mathcal{H}}$, $\nu > 0$

- Case $\mathcal{H} = L^2(\Omega)$, $\Omega \subset \mathbb{R}^n$, $A = B = -\Delta$:

$$\begin{aligned} \left\| \begin{pmatrix} u \\ v \end{pmatrix} \right\|_E^2 &= \frac{\alpha^2}{2} \int_{\Omega} |\Delta u|^2 - \int_{\Omega} |\nabla u|^2 \\ &\quad - \frac{\alpha}{2} \int_{\Omega} (\Delta u) \bar{v} - \frac{\alpha}{2} \int_{\Omega} v (\Delta \bar{u}) + \int_{\Omega} |v|^2 \end{aligned}$$

- Case $A \neq B$ fulfilling the hypotheses of the theorem:
 $B = aA + bId$, $B = A^{\frac{1}{2}}$, ... but not $B = aA + b(x)Id$

Proof of Theorem 1 (I)

1. $(\cdot, \cdot)_E$ is well defined and equivalent to $(\cdot, \cdot)_X$

We want to see that $\|(u, v)^T\|_E \geq 0$. After some calculus, we can see that we have to check if

$$\left(\frac{\alpha^2}{2} - \frac{\alpha^2}{4(1-\varepsilon)} - \varepsilon \right) \|Bu\|_{\mathcal{H}}^2 \stackrel{?}{\geq} \left\| A^{\frac{1}{2}}u \right\|_{\mathcal{H}}^2$$

for some $0 < \varepsilon < 1$.

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$$\lim_{\varepsilon \rightarrow 0} \left(\frac{\alpha^2}{2} - \frac{\alpha^2}{4(1-\varepsilon)} - \varepsilon \right) = \frac{\alpha^2}{4} > M^2$$

 true if **(H3)**, overdamping

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↖ true if **(H3), overdamping**

and also using **(H1), continuous imbedding**, we obtain that the previous inequality is fulfilled. This also allows to show the equivalence with the usual inner product $(\cdot, \cdot)_X$. ✓

Proof (II)

2.1. L symmetric with $(,)_E$

We have to see that

$$\left(L \begin{pmatrix} u_1 \\ v_1 \end{pmatrix}, \begin{pmatrix} u_2 \\ v_2 \end{pmatrix} \right)_E \stackrel{?}{=} \left(\begin{pmatrix} u_1 \\ v_1 \end{pmatrix}, L \begin{pmatrix} u_2 \\ v_2 \end{pmatrix} \right)_E$$

All the terms fulfill this because A, B are **selfadjoint (H1)**.

The only tricky term is:

$$(Au_1, Bu_2)_{\mathcal{H}} \stackrel{?}{=} (Bu_1, Au_2)_{\mathcal{H}}$$

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true if **(H2), commutativity**

Proof (II)

2.2. L invertible

We have to solve

$$\begin{pmatrix} v \\ -Au - \alpha Bv \end{pmatrix} = \begin{pmatrix} f \\ g \end{pmatrix}$$

which has solution as A is **strictly positive (H1)**.

Therefore, L is selfadjoint with $(,)_E$ ✓

Proof (III)

3. L is dissipative with $(\cdot, \cdot)_E$

We want to prove that $\left(L \begin{pmatrix} u \\ v \end{pmatrix}, \begin{pmatrix} u \\ v \end{pmatrix} \right)_E \leq 0$.

By developing it, we see that it is true if

$$(\operatorname{Re} (Au, v)_{\mathcal{H}})^2 < \frac{\alpha^2}{4} (Bv, v)_{\mathcal{H}} \cdot (Au, Bu)_{\mathcal{H}}$$

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To see this we need **(H3) (overdamping)** and two lemmas

• **Lemma 1** $\left(A^{\frac{1}{2}} u, u \right)_{\mathcal{H}} \leq M (Bu, u)_{\mathcal{H}}$.

• **Lemma 2** $\left(A^{\frac{1}{2}} u_1, Bu_2 \right)_{\mathcal{H}} = \left(Bu_1, A^{\frac{1}{2}} u_2 \right)_{\mathcal{H}}$.

True if **common basis of eigenfunctions for A and B**

(and under the hypothesis of the Theorem) ✓.

**3. DECAY OF
REGULAR SOLUTIONS
(CASE $A = B$)**

Theorem 2

Consider $u_{tt} + \alpha Au_t + Au = 0$ with the same hypotheses of Theorem 1.

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Then:

• in general, $\|e^{Lt}\|_{\mathcal{L}(X,X)} \leq e^{-\frac{1}{\alpha}t}$

• but, for each $\gamma \geq 0$, if $(u(0), v(0)) \in R_\gamma$ defined as

$$R_\gamma = \left\{ (u, v) \in \mathcal{D}(A) \times \mathcal{H}, u \in \mathcal{D}(A^{\gamma/2}), \alpha Au + v \in \mathcal{D}(A^{\gamma/2}) \right\}$$

then

$$\left\| \vec{U}(t) \right\|_E \leq K \cdot \left\| \vec{U}(0) \right\|_{R_\gamma} \cdot \frac{e^{-\frac{1}{\alpha}t}}{t^{\frac{\gamma}{2}}}$$

**(exponential-polynomial decay
for more regular solutions)**

Some comments

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- Previous results
 - **Polynomial** decay for regular solutions,
but not exponential-polynomial
 - Different **methods**:

observability inequalities
(Ex. Lebeau & Zuazua'99, Muñoz-Rivera & Racke'01,
Rao & Wehbe'05, ...)

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 - **Polynomial** decay for regular solutions,
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 - Different **methods**:
analysis of the spectrum and resolvent bounds
(Ex. Liu & Rao'05, Bátkai et al.'06, Muñoz-Rivera & Quintanilla'08, ...)

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- Previous results
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but not exponential-polynomial
 - Different **methods**:

Riesz basis (Ex. Littman & Liu'99, Zhang & Zuazua'03, ...)

...

(ours: similar property using that L is selfadjoint)

Proof of Theorem 2 (I)

Characterization of eigenvalues and eigenfunctions of L

We have $\sigma(A) = \{\lambda_n\}$, eigenvalues with finite algebraic multiplicity and $\lambda_n \rightarrow +\infty$, with eigenfunctions $\{\varphi_n\}$ complete in \mathcal{H} . Then,

• $\sigma(L) \subset (-\infty, -1/\alpha]$ and we have two sequences of eigenvalues of L :

$$\mu_n^+ = \frac{-\lambda_n \alpha + \sqrt{\lambda_n^2 \alpha^2 - 4\lambda_n}}{2} \rightarrow -\frac{1}{\alpha} \notin \sigma_p(L)$$

$$\mu_n^- = \frac{-\lambda_n \alpha - \sqrt{\lambda_n^2 \alpha^2 - 4\lambda_n}}{2} \rightarrow -\infty$$

• The eigenfunctions of L , $\begin{pmatrix} \varphi_n \\ \mu_n^\pm \varphi_n \end{pmatrix}$, are a complete set in X .

(Rmk. When $A \neq B$ this characterization is more complicated.)

Proof (II)

As L is **selfadjoint**, we have a basis $\{\vec{e}_n^\pm\}$ of orthonormal eigenfunctions in $(\cdot, \cdot)_E$. So, if we start with the initial condition

$$\vec{U}(0) = \sum_{n=1}^{\infty} a_n \vec{e}_n^+ + \sum_{n=1}^{\infty} b_n \vec{e}_n^-$$

then the corresponding solution is given by

$$\vec{U}(t) = \sum_{n=1}^{\infty} a_n e^{\mu_n^+ t} \vec{e}_n^+ + \sum_{n=1}^{\infty} b_n e^{\mu_n^- t} \vec{e}_n^-.$$

Therefore,

$$\|\vec{U}(t)\|_E^2 = \sum_{n=1}^{\infty} |a_n|^2 e^{2\mu_n^+ t} + \sum_{n=1}^{\infty} |b_n|^2 e^{2\mu_n^- t}$$

We play with the terms and obtain

$$\|\vec{U}(t)\|_E^2 <$$

$$\left(\frac{\gamma^\gamma}{e^\gamma}\right) \cdot \left(\sum_{n=1}^{\infty} \frac{|a_n|^2}{\left[-2\left(\mu_n^+ + \frac{1}{\alpha}\right)\right]^\gamma} + \sum_{n=1}^{\infty} \frac{|b_n|^2}{\left[-2\left(\mu_n^- + \frac{1}{\alpha}\right)\right]^\gamma} \right) \cdot \frac{e^{-\frac{2}{\alpha}t}}{t^\gamma}.$$

This will converge if

$$\sum_{n=1}^{\infty} \frac{|a_n|^2}{\left[-2\left(\mu_n^+ + \frac{1}{\alpha}\right)\right]^\gamma} < \infty.$$

Intuitively, this is true if

$$P^+(c.i) \in \mathcal{D}\left(\left(-L - \frac{1}{\alpha} Id\right)^{-\frac{\gamma}{2}}\right)$$

How to prove it?

Developing all in series in terms of λ_n , we then have to study the convergence of:

$$\sum_{n=1}^{\infty} \left(|a_n| \lambda_n^{\frac{\gamma}{2}} \right)^2 < \infty$$

We have written it when the initial condition is given in the basis of orthonormal eigenfunctions: .

$$\vec{e}_n^\pm = \frac{\sqrt{2}}{\sqrt{(\lambda_n^2 \alpha^2 - 4\lambda_n)}} \begin{pmatrix} \varphi_n \\ \mu_n^\pm \varphi_n \end{pmatrix}$$

But it will be better if we use it in another basis:

$$\begin{pmatrix} \varphi_n \\ 0 \end{pmatrix}, \begin{pmatrix} 0 \\ \varphi_n \end{pmatrix}.$$

So we write a_n in terms of the coefficients of the new basis, α_n, β_n :

$$a_n = \frac{-\alpha_n \mu_n^- + \beta_n}{\sqrt{2}}.$$

After this change, we can see that it is enough to see when

$$\sum_{n=1}^{\infty} \left(|\alpha_n| \lambda_n^{\frac{\gamma}{2}} \right)^2 + \left(|\alpha \lambda_n \alpha_n + \beta_n| \lambda_n^{\frac{\gamma}{2}} \right)^2 < \infty.$$

We have the convergence of the initial condition fulfills that

$$u(0) \in \mathcal{D}(A^{\gamma/2}), \quad \alpha Au(0) + v(0) \in \mathcal{D}(A^{\gamma/2})$$

that is if

$$(u(0), v(0))^T \in R_{\gamma} \quad \checkmark$$

(Rmk. “Equivalent” condition to the intuitive one)

4. OPTIMALITY OF THE PREVIOUS DECAY

Theorem 3

Under the previous hypotheses and if the initial condition is in R_γ , the decay is **optimal** in the following sense: **do not exist**

$$G : R_\gamma \longrightarrow [0, \infty)$$

$$\phi : [0, \infty) \longrightarrow [0, \infty) \text{ with } \phi(t) \rightarrow 0 \text{ when } t \rightarrow \infty$$

such that

$$\left\| \begin{pmatrix} u(t) \\ v(t) \end{pmatrix} \right\|_X \leq G \left(\begin{pmatrix} u(0) \\ v(0) \end{pmatrix} \right) \cdot \frac{e^{-\frac{1}{\alpha}t}}{t^{\frac{\gamma}{2}}} \cdot \phi(t)$$

for all $t \geq 0$ and $\begin{pmatrix} u(0) \\ v(0) \end{pmatrix} \in R_\gamma$.

Some comments

- Optimality in R_γ ,
but maybe better decay for certain elements of R_γ .

- Previous results: not many for this type of problems
(and not for exponential-polynomial decay).

(From the previous ones, only in Zhang & Zuazua'03;
in Liu & Rao'05 they comment that the decay is not optimal)

Proof of Theorem 3

Suppose that it is not optimal, that is, that we have G, ϕ such that

$$\left\| \begin{pmatrix} u(t) \\ v(t) \end{pmatrix} \right\|_X \leq G \left(\begin{pmatrix} u(0) \\ v(0) \end{pmatrix} \right) \frac{e^{-\frac{1}{\alpha}t}}{t^{\frac{\gamma}{2}}} \phi(t), \quad \forall \begin{pmatrix} u(0) \\ v(0) \end{pmatrix} \in R_\gamma$$

Then the operator $\frac{t^{\frac{\gamma}{2}} e^{\frac{1}{\alpha}t}}{\phi(t)} e^{Lt} \in \mathcal{L}(R_\gamma, X)$ is **unif. bounded**
(Banach-Steinhaus Thm.):

$$(\clubsuit) \quad t^{\frac{\gamma}{2}} e^{\frac{1}{\alpha}t} \left\| \vec{U}(t) \right\|_X \leq K \left\| \vec{U}(0) \right\|_{R_\gamma} \phi(t)$$

for all $\vec{U}(0) \in R_\gamma, t \geq 0$.

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for all $\vec{U}(0) \in R_\gamma, t \geq 0$.

\Rightarrow we will find i.c. and $t_n \rightarrow \infty$ that will **contradict** this.

• **Family of initial conditions s.t. $\|\vec{r}_n(0)\|_{R_\gamma} = 1$.**

$$\vec{r}_n(0) = \frac{1}{(\lambda_n + \mu_n^+) + \lambda_n^{\frac{\gamma}{2}} (\alpha \lambda_n + \mu_n^+)} \begin{pmatrix} \varphi_n \\ \mu_n^+ \varphi_n \end{pmatrix}$$

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• **Sequence of** $t_n \rightarrow \infty$

$$t_n = \frac{-\gamma}{2(\mu_n^+ + \frac{1}{\alpha})}$$

We write (\clubsuit) for these initial conditions and times:

$$t^{\frac{\gamma}{2}} e^{\frac{1}{\alpha} t} \left\| \vec{U}(t) \right\|_X \leq K \left\| \vec{U}(0) \right\|_{R_\gamma} \phi(t)$$



$$t_n^{\frac{\gamma}{2}} e^{\frac{1}{\alpha} t_n} \left\| \vec{r}_n(t) \right\|_X \leq K \cdot 1 \cdot \phi(t_n)$$



$$t_n^{\frac{\gamma}{2}} \frac{\lambda_n + |\mu_n^+|}{(\lambda_n + |\mu_n^+|) + \lambda_n^{\frac{\gamma}{2}} (1 + |\alpha \lambda_n + \mu_n^+|)} e^{-\frac{\gamma}{2}} \leq K \cdot \phi(t_n)$$

It is clear that $\lim_{n \rightarrow \infty} (K \phi(t_n)) = 0$. And the left hand side?

Developing in series in terms of $\lambda_n \rightarrow \infty$:

$$\text{left} \simeq \frac{\left(\frac{\gamma\alpha^3}{2}\right)^{\frac{\gamma}{2}}}{\alpha} + O\left(\frac{1}{\lambda_n}\right) \xrightarrow{n \rightarrow \infty} \frac{\left(\frac{\gamma\alpha^3}{2}\right)^{\frac{\gamma}{2}}}{\alpha}$$

So, when $n \rightarrow \infty$ we can say that we can deduce from (♣) that

$$\frac{\left(\frac{\gamma\alpha^3}{2}\right)^{\frac{\gamma}{2}}}{\alpha} \leq 0, \alpha > 0$$

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$$\frac{\left(\frac{\gamma\alpha^3}{2}\right)^{\frac{\gamma}{2}}}{\alpha} \leq 0, \alpha > 0$$

CONTRADICTION !!!

Therefore, it has been proved the **optimality of the decay** en R_γ ✓.